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Docket No.: 12810-00315-US

(PATENT)

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:

Rainer Stürmer

Application No.: National Phase of

PCT/EP2005/000420

Filed: Concurrently Herewith Art Unit: N/A

For: METHOD FOR PRODUCING

ENANTIOMER-PURE AMINOALCOHOLS

Examiner: Not Yet Assigned

Confirmation No.: N/A

## INFORMATION DISCLOSURE STATEMENT (IDS)

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

Pursuant to 37 CFR 1.56, 1.97 and 1.98, the attention of the Patent and Trademark Office is hereby directed to the references listed on the attached PTO/SB/08. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the references be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

This Information Disclosure Statement accompanies the new patent application submitted herewith.

In accordance with 37 CFR 1.98(a)(2)(ii), Applicant has not submitted copies of U.S. patents and U.S. patent applications. Applicant submits herewith copies of foreign patents and non-patent literature in accordance with 37 CFR 1.98(a)(2).

10/587440

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The Director is hereby authorized to charge any deficiency in the fees filed, asserted to be filed or which should have been filed herewith (or with any paper hereafter filed in this application by this firm) to our Deposit Account No. 03-2775, under Order No. 12810-00315-US.

Respectfully submitted,

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Su	Substitute for form 1449A/B/PTO			Complete Product Q71111		
				Application Number	Not Yet Assigned	
II	NFORMATIC	N DISC	CLOSURE	Filing Date	Concurrently Herewith	
5	STATEMENT	BY AP	PLICANT	First Named Inventor	Rainer Stürmer	
	(Use as many sheets as necessary)			Art Unit	N/A	
				Examiner Name	Not Yet Assigned	
Sheet	1	of	1	Attorney Docket Number	12810-00315-US	

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No.1	Document Number  Number-Kind Code <sup>2</sup> ( if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	AA*	US-5,362,886	11-08-1994	Berglund	
	AB*	US-2005/0245749	11-03-2005	Stürmer	

	FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No.1	Foreign Patent Document Country Code <sup>3</sup> -Number <sup>4</sup> - Kind Code <sup>5</sup> (# known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>©</sup>	
	BA	EP-0 273 658	07-06-1988	Eli Lilly and Company			
	BB	EP-0 457 559	11-21-1991	Eli Lilly and Company			
	ВС	EP-1 300 405	04-09-2003	Sankyo Company			
	BD	WO-2004/013123	02-12-2004	BASF Aktiengesellschaft		See US 2005/0245749 (Equivalent to DE 102 35 206)	

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. \* CITE NO.: Those application(s) which are marked with an single asterisk (\*) next to the Cite No. are not supplied (under 37 CFR 1.98(a)(2)(iii)) because that application was filed after June 30, 2003 or is available in the IFW. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁵ Applicant is to place a check mark here if English language Translation is attached.

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
	CA	Beilstein Institut zur Forderung der Wissenschaften, XP002333975 (2002) (2 pages total)	
	СВ	Liu, H. et al., "Chemo-Enzymatic Synthesis of the Antidepressant Duloxetine and Its Enantiomer", Chirality 12 (2000), pp. 26-29.	
	CC	Deeter, J. et al., "Asymmetric Synthesis and Absolute Stereochemistry of LY248686", Tetrahedron Letters 31(49) (1990), pp. 7101-7104.	
	CD	Wheeler, W. J. et al., "An Asymmetric Synthesis of Duloxetine Hydrochloride, a Mixed Uptake Inhibitor of Serotonin and Norepinephrine, and Its C-14 Labeled Isotopomers", Journal of Labelled Compounds and Radiopharmaceuticals 36 (1995), pp. 213-223.	
	CE	Kamal, A. et al., "Chemoenzymatic Synthesis of Duloxetine and Its Enantiomer: Lipase-catalyzed Resolution of 3-hydroxy-3-(2-thienyl) Propanenitrile", Tetrahedron Letters 44 (2003), pp. 4783-4787.	
	CF	Akita, H. et al., "Lipase Catalyzed Enantioselective Hydrolysis of 2-Methyl 3-Acetoxy Esters", Tetrahedron Letters 27(43) (1986), pp. 5241-5244.	
	CG	Singh, S. et al., "Chemoenzymatic Synthesis of Optically Active Heterocyclic Homoallylic and Homopropargylic Alcohols", Tetrahedron: Asymmetry 13 (2002), pp. 2679-2687.	

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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